

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re PATENT APPLICATION of

MOUGIN *ET AL.*

Group Art Unit: Unassigned

Application Serial No.: Unassigned

Examiner: Unassigned

Filed: January 6, 2004 (herewith)

Title: NOVEL CATIONIC ASSOCIATIVE POLYURETHANES AND THEIR USE AS THICKENERS

January 6, 2004

\* \* \* \* \*

**INFORMATION DISCLOSURE STATEMENT**

Mail Stop PATENT APPLICATION  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§1.56, 1.96, 1.97, and 1.98, the applicants request consideration of the documents listed on the attached Forms PTO-1449. Copies of these documents were submitted in U.S. Pat. Appl. Ser. No. 09/904,516. The present application claims priority under 35 U.S.C. §§120 and 121 to Ser. No. 09/904,516. According to 37 C.F.R. §1.98(d), copies of these documents are not enclosed.

The applicants do not waive any rights to appropriate action to establish patentability over the listed documents should they be applied as references against the claims of the present application. This statement should not be construed as a representation that more material information does not exist or that an exhaustive search of the relevant art has been made.

This Information Disclosure Statement is intended to be fully compliant with 37 C.F.R. §§1.56, 1.96, and 1.97. However, should the examiner find any part of its required content to have been omitted, the applicants earnestly solicit prompt notice to that effect, along with additional time under 37 C.F.R. §1.97(f) to enable the applicants to comply fully.

The applicants respectfully request consideration of the cited documents and making the same of record in the prosecution of the above-identified application.

Inventor(s): Mougin *et al.*  
Application No.: **Divisional of Serial No. 09/904,516**  
Attorney Docket No.: **012237-0307448**

This Information Disclosure Statement is filed prior to the receipt of the first official action on the merits. Therefore, the applicants believe that no fee is due. However, the enclosed fee transmittal grants the United States Patent and Trademark Office permission to charge any fees required for the consideration of this Information Disclosure Statement.

Respectfully submitted,

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Substitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

*(Use as many sheets as necessary)*

Sheet	1	of	1	Attorney Docket Number	012237-0307448
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**Complete if Known**

Application Number	
Filing Date	1/6/2004
First Named Inventor	MOUGIN, et al.
Art Unit	
Examiner Name	
Attorney Docket Number	012237-0307448

## U. S. PATENT DOCUMENTS

[illegible]

## FOREIGN PATENT DOCUMENTS

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
	KR	EP 0978522A1	02/2000	Kukkala et al.		

**Examiner  
Signature**

Date  
Considered

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.<sup>1</sup> Applicant's unique citation designation number (optional).<sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04.<sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3).<sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.<sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible.<sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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